

## Supplier Code of Conduct



**As a non-profit scientific research organization, EPRI is committed to our core values which include integrity, objectivity, and public benefit. We aspire to interact and transact with honesty, transparency, fairness, and respect. Every action we take must be conducted ethically and beyond reproach. We conduct every aspect of our business free from favoritism, self-interest, and aim to avoid bias in judgment. Our actions and decisions demonstrate corporate responsibility which ultimately benefits society. This Supplier Code of Conduct expresses the expectations we hold for our vendors, contractors, and suppliers, and mirrors the standards we set for our own employees and other business associates. Thank you for your shared commitment to meeting these principles.**

### LEGAL COMPLIANCE

EPRI suppliers must perform all duties and expectations in compliance with all domestic and foreign laws and regulations applicable to their business and the location where the work is conducted, provided the respective laws and regulations do not violate the laws and regulations of the United States. Due to the nature of EPRI's collaborative research portfolio, suppliers are expected to pay particular attention to laws regarding the export or import of intellectual property, technical data, or materials (including, but not limited to chemicals and radioactive materials). Suppliers must comply with all terms and conditions and other provisions as specified in any EPRI contract or Purchase Order, and adhere to all flow down provisions in U.S. government and other projects. Suppliers must obtain valid work permits and visa when performing EPRI work abroad. As a non-profit organization, EPRI is not allowed to endorse products or businesses, and we expect our suppliers to refrain from any such requests.

### RESEARCH INTEGRITY

EPRI prohibits research misconduct in proposing, performing, or reviewing research; reporting research results; and maintaining research records, data or results involving EPRI activities. These include, but are not limited to, EPRI reports and software, research proposals, laboratory records, progress reports, abstracts, presentations, internal reports, and journal articles.

In performing work for EPRI, suppliers must not misappropriate or infringe intellectual property of others without proper licenses, permissions, and attributions.

Suppliers are expected to help prevent, detect, report, and remediate research misconduct. All suppliers must provide and maintain accurate reports and honest representations in all matters related to their relationship with EPRI.

## GIFTS & ENTERTAINMENT

EPRI recognizes that gifts, entertainment, and gratuities, are customary forms of expressing appreciation, creating goodwill, and strengthening business relationships with suppliers. However, because EPRI is a non-profit organization, we avoid all circumstances that would give the appearance of an apparent or actual conflict of interest, or may be interpreted to give the supplier an unfair competitive advantage. Suppliers should ensure that any gift offering is permitted by law, and is in accordance EPRI policy. EPRI employees may only accept unsolicited, non-monetary gifts of a nominal value.

## CONFIDENTIAL & RESTRICTED INFORMATION

In the course of working for EPRI, suppliers may come into contact with proprietary, confidential, export-controlled, personal or otherwise sensitive or restricted information owned by EPRI or entrusted to EPRI by third parties. Each supplier must take proper care to protect all such information and not use it for any purpose beyond the scope of the business arrangement with EPRI without the prior, written approval of EPRI. Further, our suppliers must refrain from using or providing information for the purpose of Insider Trading.

### INFORMATION SECURITY

When collaborating with EPRI, suppliers must follow state-of-the-art information security and quality control measures, and ensure that software and other deliverables do not enable unauthorized access or contain viruses or malware that could compromise the IT infrastructure, protected information and operations of EPRI and its members. EPRI expects suppliers to exercise diligence in accessing EPRI systems and ensure that only authorized supplier staff is granted access.

## FAIR COMPETITION / ANTI-TRUST

EPRI expects our suppliers to conduct business in accordance with all applicable anti-trust laws and regulations. This includes avoiding business practices such as entry into arrangements that unlawfully restrain competition, improper exchange of competitive information, price fixing, bid rigging, or improper market allocation.

## ANTI-CORRUPTION

EPRI has no tolerance for corruption, and prohibits anyone conducting business on behalf of EPRI, including suppliers, from offering or making any improper payments of money or anything of value to government officials, political parties, candidates for public office, or others.

## CONFLICTS OF INTEREST

EPRI expects suppliers to avoid all situations giving the appearance of a conflict of interest in their dealings with EPRI. We expect our suppliers to report any actual, potential or apparent conflicts between their interest and the interest of EPRI.



## CORPORATE SUSTAINABILITY AND SOCIAL RESPONSIBILITY

EPRI has a strong commitment to sustainability and social responsibility, and we expect our suppliers to also share in this commitment. Our vision is for a world where the production, distribution, use, and disposal of goods and services enhance the long-term health and vitality of society, economies and the planet. EPRI's Corporate Social Responsibility efforts strives to accelerate environmental, social, and economic progress through sustainable procurement initiatives.

## EQUAL EMPLOYMENT OPPORTUNITY

EPRI believes that employees and suppliers with different perspectives bring vitality, creativity, and new ideas to EPRI and contribute to EPRI's growth. Our suppliers must provide equal employment opportunity to employees and applicants for employment without regard to race, ethnicity, religion, color, gender (sex), sexual orientation, transgender status, gender identity, gender expression, pregnancy, national origin, citizenship status, marital status, domestic partner status, medical condition (as defined under California law), age, physical or mental disability, veteran status, genetic information, predisposition or carrier status, or any other basis protected by applicable law.

## ANTI-HARASSMENT

EPRI strives to maintain a positive working environment that ensures that all staff, customers, contingent workers, consultants, and visitors are treated with dignity, respect, and courtesy. EPRI expects that suppliers will prohibit any form of harassment, and will ensure that their employees may perform their work in an environment free from physical, psychological and verbal harassment, or other abusive conduct.

## EMPLOYEE HEALTH & SAFETY

EPRI is committed to providing a safe and healthy environment for its employees, contingent workers, resident researchers, and visitors. Suppliers are expected to ensure the health, safety, and welfare of all people affected by their activities, and must comply with all applicable environmental, health and safety laws. Suppliers are expected to operate and maintain a drug-free workplace.

## SUPPLIER DIVERSITY

EPRI engages a diverse supplier pool by developing and maintaining relationships with suppliers who are classified as small businesses, small disadvantaged businesses, minority-owned, woman-owned, veteran-owned, and disabled veteran-owned.

## HUMAN RIGHTS

EPRI expects our suppliers to ensure that the products and/or services provided to EPRI do not, directly or indirectly, come from suppliers that engage in the use of forced labor, involuntary prison labor, slavery or human trafficking, including child labor.

## ENVIRONMENT

EPRI strives to manage projects and facilities in an environmentally efficient and responsible manner including consideration for water, species, climate, energy, and waste management. Suppliers are expected to operate in a manner that actively manages risk to the environment, conserves natural resources, and considers the wellbeing of future generations.

## CONFLICT MINERALS

It is EPRI's intention to do our utmost to ensure that the products and components that EPRI uses in our daily business operations do not contain conflict minerals. EPRI expects our suppliers to continuously monitor their supply chains to avoid procurement of conflict minerals that originated in the Democratic Republic of the Congo or an adjoining country. The term "conflict minerals" includes tantalum, tin, gold or tungsten.

## REPORTING

EPRI requests that suppliers, without fear of retaliation, report any perceived or suspected illegal or unethical business conduct that relates to their relationship with EPRI through EPRI's Ethics and Compliance Helpline, a toll-free line operated by an independent company available 24 hours a day, 7 days a week.

**EPRI Helpline: 1-800-826-6762**  
[EPRIethicshelp@hotlines.com](mailto:EPRIethicshelp@hotlines.com)

**For other questions or concerns contact:**  
Director, Compliance at (650) 855-2220, or  
Director, Sourcing & Procurement at (704) 595-2657